## **Chapter 9 – Advertisement Disclaimers**

This chapter describes the disclaimers required on political mailings and other advertisements made by general purpose committees on candidates or ballot measures. The disclaimers inform the public who is paying for a communication.

The disclaimers required for communications made by a general purpose committee that are *independent expenditures* and those required for coordinated *communications that are contributions* to a candidate or measure are shown in two charts.

### A. What is the Disclaimer?

"Paid for by [committee name]" is the basic disclaimer on most campaign communications. The disclaimer is required on the following:

- Mailings and emails
- Paid telephone calls
- Radio ads
- Television ads
- Electronic media ads
- Newspaper ads
- Billboards
- Yard signs

On all communications, "paid for by [committee name]" is required. On printed mass mailings "paid for by [committee name]" and the committee's address is required.

#### Examples:

"This call was paid for by ABC Trade Association"

"This ad was paid for by Going Green Political Action Committee"

Quick Tip: Local rules may require additional disclaimers on campaign ads beyond those required by state law.

Quick Tip: Contact Elections offices for rules on placement of campaign signs in residential or commercial areas.

#### **Not Authorized by Candidate**

When a general purpose committee pays for an independent expenditure advertisement supporting or opposing a candidate, the ad must include a statement that it was not authorized by a candidate or a committee controlled by a candidate.

#### Examples:

"This call was paid for by ABC Trade Association and was not authorized by Senator Jones"

• "This ad was paid for by Susan Johnson and was not authorized by a candidate or a committee controlled by a candidate."

The Political Reform Act ensures that the committee paying for the ad is identified. The Act does not regulate the truth or accuracy of the content of political communications given the broad First Amendment protection for political speech.

Ex. 9.1 – Denim Manufacturers Committee made two types of independent expenditures. One was for a series of radio spots opposing a proposition and the other was a mass mailing supporting a candidate for mayor in San Francisco. The radio spots audio disclaimer must say "paid for by Denim Manufacturer's Committee." The mailing must state "Paid for by Denim Manufacturer's Committee and not authorized by a candidate or a committee controlled by a candidate."

#### **How Must the Disclaimer Appear?**

Disclaimers on political ads must be clear and conspicuous so as to be understood by the public. Written disclaimers must be printed clearly and legibly. Spoken disclaimers must be clearly audible and intelligible. Specific requirements for color contrast, print font size, and time appearing on screen are provided on the following chart. Disclaimers must also be written or spoken in the same language used in the advertisement.

If an acronym is used to identify the committee, the name of any sponsoring organization of the committee must be printed on print advertisements or spoken in broadcast advertisements.

## **Telephone Calls**

When a general purpose committee pays for 200 or more similar telephone calls that expressly advocate support for or opposition to a candidate or ballot measure, the name of the committee must be disclosed to recipients. The disclosure must include the words "paid for by" or "authorized by." This is required for both contributions and independent expenditures. A script of the call must be maintained in the committee's records.

## **B.** Advertisement Disclaimers for Independent Expenditure Ads

The disclaimer rules for independent expenditure ads by general purpose committees that support or oppose candidates or measures are summarized in the chart below.

# Independent Expenditure Ads on Candidates or Ballot Measures (by general purpose recipient committees)

Communication	Manner of Display
All mass mailings (more than 200) (see note)	Committee name/address (on file with Forms 410) on outside of mailing in no less than 10 pt type/contrasting color
Telephone calls (more than 200) - made by vendors ("robo" calls) or paid individuals	<ul> <li>Disclaimer must state the call is "paid for by" committee name</li> <li>Must be at least 3 seconds at beginning or end of call</li> </ul>

Radio	Must be at least 3 seconds either at beginning or end of the ad	
Television  Electronic Media	<ul> <li>Both written &amp; spoken at the beginning or end of ad</li> <li>Not less than 4 seconds</li> <li>Size &amp; contrasting color must be legible to the average viewer</li> <li>Exception - no spoken disclosure required if written statement is shown for at least 5 seconds on a 30 second broadcast or 10 seconds on 60 second broadcast</li> </ul>	
Licetionic Media		
1. Websites and blast emails	Disclaimer statement must be in the same font size as majority of text and displayed conspicuously near the ad	
2. Ads of limited size (micro bar, button ad, ads limited to 500 characters or less)	Ad must provide disclaimer via rollover, link or other connection to website with the disclaimer	
3. SMS texts	3. Include the committee ID number and if technically possible link to the committee's campaign statement on Secretary of State's website	
4. Electronic ads sent in an audio format	4. Same requirements as for radio ads above	
5. Electronic ads sent in a video format	5. Same requirements as for television ads above	
Paid spokesperson in ballot measure ad - \$5,000 or more	<ul> <li>Printed or televised ad: shown continuously in highly visible roman font</li> <li>Radio broadcast or phone message: spoken in clearly audible format</li> <li>Both must also include: "spokesperson's name is being paid by this campaign or its donors"</li> </ul>	
Newspaper ads	10 pt type in contrasting color	
	Also check the Elections Code	
A billboard Yard signs (more than 200)	5% of height of ad in contrasting color	
Door hangers, flyers, posters, and oversized campaign buttons and bumper stickers (buttons 10" across or larger and stickers 60 sq. in. or larger) (more than 200)	10 pt type in contrasting color	

Note: Two display rules exist for mass mailings. A mass mailing must have the committee name/address on the outside of an envelope in 6 pt type; while the manner of display for the ad disclaimer requires the committee name in no less than 10 pt type. Often a one-page mailer combines the display rules with both the committee name and address in no less than 10 pt type although the address may be at 6 pt type.

## **Updating a Disclaimer**

In the event an advertisement disclaimer must be revised due to a name change, television, radio, electronic media, or robocalls must be amended within five calendar days. Print media, mass mailings, or other tangible items must be amended every time an order to reproduce is placed.

#### **Advertisements in Languages Other than English**

Disclaimers on political advertisements should be written or spoken in the same language used in the advertisement. Proper names are not required to be translated.

## **Advertisement Disclaimer Exceptions**

A disclaimer is not required on regular-size campaign buttons, pins, bumper stickers, or magnets. It is not required on pens, pencils, rulers, mugs, potholders, key tags, golf balls and similar small campaign promotional items where a disclaimer cannot be conveniently printed. The disclaimer is not required on t-shirts, caps, hats, and other articles of clothing; skywriting and airplane banners; or committee checks and receipts.

#### C. Disclaimers for Coordinated Communications

The disclaimer rules for communications made by general purpose committees that are coordinated with a candidate or committee are summarized in the chart below. Payments for such communications are a reportable contribution to the candidate or committee.

## Communications by General Purpose Recipient Committee that are Contributions to a Candidate or Committee

Communication	Manner of Display
All mass mailings (more than 200) (including electronic mail messages, faxes, Twitter feeds)	<ul> <li>Committee name/address, as on file with Form 410. (If no Form 410 on file, use candidate name/address.)</li> <li>Only committee name required on electronic messages</li> <li>No less than 6 pt type/contrasting print color</li> <li>Return envelopes (included in solicitation) – committee's name, address and ID number are recommended, but not required</li> </ul>
Telephone calls (500 or more) - made by vendors ("robo" calls) or paid individuals	<ul> <li>Any time during the message</li> <li>Must identify the candidate that paid for the call or an organization authorizing the call that files campaign reports</li> <li>Must state that the call is "paid for by" or "authorized by the identified candidate or organization</li> <li>Examples: This call was paid for by Senator Jones; This call was authorized by</li> </ul>

	<ul> <li>(name of committee)</li> <li>No ID required on telephone calls personally dialed by candidate, campaign manager or volunteers</li> </ul>
The Political Reform Act does not require a special although the FPPC recommends placing "paid foon all public campaign materials.	
Newspaper, radio and television ads	<ul> <li>Radio and television advertisements require "paid for by" or sponsor identification under Federal Communications Commission rules</li> <li>Check the Elections Code for newspaper ad requirements</li> </ul>
Billboards, yard signs, business cards, door hangers, flyers, posters and websites	"Paid for by committee name" and committee ID number are recommended but not legally required

## D. Mass Mailings - Postal Mailings and Emails

When a general purpose committee pays for a mailing of more than 200 substantially similar pieces in a calendar month ("mass mailing"), the committee's name and address must be on the mailing. Mass mailings include more than 200 substantially similar electronic messages sent to the public within a calendar month. All mass mailings must include the words "Paid for by" immediately adjacent to or above identification of the name of the committee. The committee's address is also required on postal mailings.

Paid for by ABC Trade Association 123 Elm Street Elmton, CA 95523 Fred Smith 7200 Oak St. Oakville, CA 92253 10 point type.

The committee name must be printed in at least

The committee address must be printed in at least 6 point type. The color or print must contrast with the background so it is easily read (e.g., no light blue on blue). A post office box may be used as the address only if the committee's street address is on its Statement of Organization (Form 410) on file with the Secretary of State.

#### **Sent by Two or More Committees**

If a mass mailing is paid for by more than one committee, the name and address of the committee that is paying the greatest share of the mass mailing, including costs for designing, postage, and printing, must be placed on the outside of each piece of mail as described above. If two or more committees pay equally for the mailer, the name and address of at least one of the committees must be shown on the outside as described above, and the names and addresses of all committees must appear on at least one insert.

#### **Emails**

When over 200 substantially similar emails are sent by a political committee, the email must include "Paid for by [committee name]." The committee's street address is not required on mass emails sent by a committee, but may be included.

To: Voter@emailaddress.web

From: ABCTradeAssociation@emailaddress.web

Subject: Vote for Smith for Senate

The following message is paid for by ABC Trade Association and was not authorized by Candidate Smith.

## **Valuing a Mailing**

**Multiple candidates/measures:** If a mass mailing (including emails) supports or opposes more than one candidate or measure, the fair market value attributable to each may be calculated by prorating the costs based on the amount of space allotted to each candidate or measure supported or opposed in the mailing.

**Political and non-political material:** The cost of a mailing containing both express advocacy supporting or opposing a ballot measure or candidate as well as non-political material may be prorated. Costs directly associated with the political message are reportable, including staff time of more than 10 percent in a calendar month.

#### Required Recordkeeping

For each mass mailing, the following must be retained for a period of four years:

- A sample of the mailing;
- A record of the date;
- The number of pieces sent; and
- The method of postage used.

#### **Answering Your Mass Mailing Questions**

- 1. Must the committee's identification number appear on a mailing?
  - No. The committee may include its FPPC ID #, but it is not legally required.
- 2. If an organization includes a copy of a candidate's flyer in its newsletter, is the candidate required to be identified on the outside of the mailer?
  - No. The candidate's name and address must be identified on the flyer only.
- 3. A committee has more than one address. Which address must be used on mass mailings?

Any address that also appears on the committee's Statement of Organization (Form 410) on file with the Secretary of State may be used.

4. A committee pays for a ballot measure committee's mailing as a nonmonetary

contribution. Which committee must be identified on the outside, the committee paying for the mailing or the ballot measure committee?

Only the committee that pays for the mailing is required to be identified on the outside of the mailing.

5. If a committee is sending a post card-type mailing, may the name of the committee appear only once?

Yes.

6. Where on the outside of the mailing does the committee identification need to be placed?

There is no specific requirement for location of the identification, as long as it appears somewhere on the outside of the mailing.

### **Ballot Measure Ad Spokespersons**

If a general purpose committee makes an expenditure of \$5,000 or more to an individual for his or her appearance in an advertisement to support or oppose a ballot measure, the advertisement must include a statement that the individual is being paid for his or her appearance. The statement must be in highly visible roman font, shown continuously if the advertisement consists of printed or televised materials, or spoken in a clearly audible format if the advertisement is a radio broadcast or telephone message. In addition, the committee must file the Paid Spokesperson Report, Form 511, as described in Chapter x.

Ex. 9.2 – "Paid for by ABC Trade Association. Dr. Jane Miller was paid for her appearance in this advertisement."

#### **Penalties**

The penalty for failing to comply with the Act's disclaimer requirements is a fine of up to \$5,000 per violation. In addition, any person who violates the disclaimer requirements concerning ballot measure and independent expenditure advertisements may be liable for a fine of up to three times the cost of the advertisement, including placement costs.

### **Answering Your Questions**

A. If our general purpose committee pays for a mass mailing that was coordinated with a candidate, must the general purpose committee be identified on the mailing?

Yes. If more than 200 pieces of the same or substantially similar mail are sent in a calendar month, the name and address of the general purpose committee paying for the mailing must appear on the outside of the mailing in no less than six-point type and in a color that contrasts with the background (e.g., no light brown on brown).

B. If our general purpose committee decides to produce a broadcast expressly asking voters to vote for a candidate without coordinating with the candidate, are there disclaimer requirements?

Yes. As described in the chart on page x, the advertisement must state "paid for by [name of the general purpose committee] and not authorized by a candidate or a committee controlled by a candidate."

## C. Will your general purpose committee be identified on any campaign ads you are not responsible for producing?

Ballot measure ads include the name of the top two donors of \$50,000 or more to the ballot measure committee. If your general purpose committee contributes \$50,000 or more to a primarily formed ballot measure committee, your committee's name may be included as a top donor in the primarily formed committee's advertisements if your committee is one of the two highest contributors to the committee.

## **Authority**

The following Government Code sections and Title 2 regulations provide authority for the information in this chapter:

## **Government Code Sections [hyperlink]**

Mass Mailing.
Requirements for Mass Mailing.
Identification Requirements for Telephone Calls.
Advertisement.
Avoidance of Disclosure.
Disclaimers: Independent Expenditure Ads
Disclaimers: Independent Expenditure Ads; Not Authorized by Candidate.
Disclaimer: Legible and Audible.
Amended Disclaimers.
Ballot Measure Ads; Paid Spokesperson Disclosure.

### Title 2 Regulations [hyperlink]

18435	Definition of Mass Mailing and Sender.
18440	Telephone Advocacy.
18450.1	Definitions. Advertisement Disclosure.
18450.4	Contents of Disclosure Statements. Advertisement Disclosure.
18450.5	Amended Advertisement Disclosure.
18450 11	Snokesperson Disclosure